

Nil

File No. FDC/MA/22/000325

Government of India

Directorate General of Health Services  
Central Drugs Standard Control Organization  
(FDC Division)

Tele. No.:011-23236965

Fax No. :011-23236973

FDA Bhawan, Kotla Road  
New Delhi-110002

Dated:

10 9 AUG 2023

To,

M/s. Theon Pharmaceuticals Ltd.,  
Village Saini Majra, Tehsil Nalagarh, Distt. Solan,  
Baddi, Himachal Pradesh-174101.

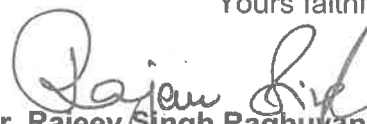
**Subject:** Permission to conduct Phase III clinical trial with the FDC of Dapagliflozin Propanediol Monohydrate eq. to Dapagliflozin + Linagliptin + Metformin Hydrochloride IP eq. to Metformin (as sustained release) (10mg + 5mg + 500mg & 10mg + 5mg + 1000mg) tablets (vide protocol no. LCT-01/22, version no. 2.0 dated 06.04.2022-regarding).

Dear Sir,

With reference to your online application submitted in Form CT-04 on dated 24.01.2023 please find enclosed herewith the "permission to conduct clinical trial study of new drug" bearing no. **FDC-CT-06-36/2023** under the provision of Drugs and Cosmetics Act and Rules. The permission is subject to the conditions mentioned below.

Kindly acknowledge receipt to this letter and its enclosures.

Yours faithfully,

  
(Dr. Rajeev Singh Raghuvanshi)  
Drugs Controller General (India)

#### CONDITIONS OF PERMISSION

- I. Clinical trial at each site shall be initiated after approval of the clinical trial protocol and other related documents by the Ethics Committee of that site, registered with the Central Licencing Authority under rule 8;
- II. Where a clinical trial site does not have its own Ethics Committee, clinical trial at that site may be initiated after obtaining approval of the protocol from the Ethics Committee of another trial site; or an independent Ethics Committee for clinical trial constituted in accordance with the provisions of rule 7:
  - i. Provided that the approving Ethics Committee for clinical trial shall in such case be responsible for the study at the trial site or the centre, as the case may be;
  - ii. Provided further that the approving Ethics Committee and the clinical trial site or the bioavailability and bioequivalence centre, as the case may be, shall be located within the same city or within a radius of 50 kms of the clinical trial site;
- III. In case an ethics committee of a clinical trial site rejects the approval of the protocol, the details of the same shall be submitted to the Central Licensing Authority prior to seeking approval of another Ethics Committee for the protocol for conduct of the clinical trial at the same site; The Central Licencing Authority shall be informed about the approval granted by the Ethics Committee within a period of fifteen working days of the grant of such approval;

- IV. Clinical trial shall be registered with the Clinical Trial Registry of India maintained by the Indian Council of Medical Research before enrolling the first subject for the trial;
- V. Clinical trial shall be conducted in accordance with the approved clinical trial protocol and other related documents and as per requirements of Good Clinical Practices Guidelines and the provisions of these rules;
- VI. Status of enrolment of the trial subjects shall be submitted to the Central Licencing Authority on quarterly basis or as appropriate as per the duration of treatment in accordance with the approved clinical trial protocol, whichever is earlier;
- VII. Six monthly status report of each clinical trial, as to whether it is ongoing, completed or terminated, shall be submitted to the Central Licencing Authority electronically in the SUGAM portal;
- VIII. In case of termination of any clinical trial the detailed reasons for such termination shall be communicated to the Central Licencing Authority within thirty working days of such termination;
- IX. Any report of serious adverse event occurring during clinical trial to a subject of clinical trial, shall, after due analysis, be forwarded to the Central Licencing Authority, the chairperson of the Ethics Committee and the institute where the trial has been conducted within fourteen days of its occurrence as per Table 5 of the Third Schedule and in compliance with the procedures as specified in Chapter VI;
- X. In case of injury during clinical trial to the subject of such trial, complete medical management and compensation shall be provided in accordance with Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licencing Authority within thirty working days of the receipt of order issued by Central Licencing Authority in accordance with the provisions of the said Chapter;
- XI. In case of clinical trial related death or permanent disability of any subject of such trial during the trial, compensation shall be provided in accordance with Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licencing Authority within thirty working days of receipt of the order issued by the Central Licencing Authority in accordance with the provisions of the said Chapter;
- XII. The premises of the sponsor including his representatives and clinical trial sites, shall be open for inspection by officers of the Central Licencing Authority who may be accompanied by officers of the State Licencing Authority or outside experts as authorised by the Central Licencing Authority, to verify compliance of the requirements of these rules and Good Clinical Practices Guidelines, to inspect, search and seize any record, result, document, investigational product, related to clinical trial and furnish reply to query raised by the said officer in relation to clinical trial;
- XIII. Where the new drug or investigational new drug is found to be useful in clinical development, the sponsor shall submit an application to the Central Licencing Authority for permission to import or manufacture for sale or for distribution of new drug in India, in accordance with Chapter X of these rules, unless otherwise justified;
- XIV. The laboratory owned by any person or a company or any other legal entity and utilised by that person to whom permission for clinical trial has been granted used for research and development, shall be deemed to be registered with the Central Licencing Authority and may be used for test or analysis of any drug for and on behalf of Central Licencing Authority;
- XV. The Central Licencing Authority may, if considered necessary, impose any other condition in writing with justification, in respect of specific clinical trials, regarding the objective, design, subject population, subject eligibility, assessment, conduct and treatment of such specific clinical trial;
- XVI. The sponsor and the investigator shall maintain the data integrity of the data generated during clinical trial.
- XVII. The formulation intended to be used in the clinical trial study shall be manufactured under GMP conditions using validated procedures.
- XVIII. It may kindly be noted that merely granting permission to conduct Clinical trials/Bioavailability or Bioequivalence study with the drug does not convey or imply that, based on the Clinical trial data/ Bioavailability or Bioequivalence study data generated with the drug, permission to market this drug in the country will automatically be granted to you.

**FORM CT-06**

(See rules 22, 25, 26, 29 and 30)

**PERMISSION TO CONDUCT CLINICAL TRIAL OF NEW DRUG OR  
INVESTIGATIONAL NEW DRUG****Permission no.: FDC-CT-06-36/2023**

1. The Central Licencing Authority hereby permits **M/s. Theon Pharmaceuticals Ltd., Village Saini Majra, Tehsil Nalagarh, Distt. Solan, Baddi, Himachal Pradesh-174101, Telephone No. 01795669200, Fax No. 01795669200** to conduct clinical trial of the new drug or investigational new drug as per protocol number **(LCT-01/22, version no. 2.0, dated 06.04.2023)** in the below mentioned clinical trial sites.
2. Details of new drug or investigational new drug and clinical trial site [As per Annexure].
3. This permission is subject to the conditions prescribed in part A of Chapter V of the New Drugs and Clinical Trials Rules, 2019 under the Drugs and Cosmetics Act, 1940.

**Place: New Delhi****Date: .....****09 AUG 2023**

  
**Central Licencing Authority**  
Stamp

Dr. RAJEEV SINGH RAGHUVAISHI  
Drugs Controller General (India)  
Central Drugs Standard Control Organisation  
Directorate General of Health Services  
Ministry of Health & Family Welfare  
Government of India  
FDA Bhawan, Kofa Road,  
New Delhi (India)

**Annexure:****Details of new drug or investigational new drug:**

<b>Names of the new drug or investigational new drug:</b>	Dapagliflozin Propanediol Monohydrate eq. to Dapagliflozin + Linagliptin + Metformin Hydrochloride IP eq. to Metformin (as sustained release) (10mg + 5mg + 500mg & 10mg + 5mg + 1000mg) tablets
<b>Therapeutic class:</b>	Antidiabetic
<b>Dosage form:</b>	Tablets
<b>Composition:</b>	Each tablet contains: Dapagliflozin Propanediol Monohydrate eq. to Dapagliflozin + Linagliptin + Metformin Hydrochloride IP eq. to Metformin (as sustained release) (10mg + 5mg + 500mg & 10mg + 5mg + 1000mg)
<b>Indications:</b>	Indicated in adults with type 2 diabetes mellitus as an adjunct to diet and exercise to improve glycemic control

**Details of clinical trial site:**

<b>Names and address of clinical trial site:</b>	As per annexure- A
<b>Ethics committee details:</b>	As per annexure- A
<b>Name of principal investigator:</b>	As per annexure- A

Permission no.: FDC-CT-06-36/2023

S. No.	Name of PI	Site Name	Ethics Committee Name, Address & EC registration No.
1.	Dr. Sanjiv Maheshwari	Jawahar Lal Nehru Medical college, Kala Bagh Ajmer 305001, Rajasthan	Institutional Ethics Committee Jawahar Lal Nehru Medical college, Kala Bagh Ajmer- 305001, Rajasthan. ECR/1156/Inst/RJ/2018/RR-22
2.	Dr Archana Uppin	BHS Lakeview hospital Fort Lake, Gandhi Nagar, Belagavi, Karnataka 590016	Lakeview Ethics Committee CTS No 5653/17, 18,19,20, Mahatma Phule road, Shashtri nagar, Belagavi 590003. ECR/1586/Inst/KA/2021
3.	Dr Pravin Supe	Supe heart and diabetes hospital and research centre Opp, Adhar Asharam, Near Rungta School, Nashik 422002, Maharashtra	Supe Hospital Ethics Committee Opp, Adhar Asharam, Near Rungta School, Nashik 422002, Maharashtra ECR/272/Inst/MH/2013/RR-19
4.	Dr Jawahar Agrawal	Lifeworth Superspeciality Hospital Samta Colony Raipur Chhattisgarh-492001, India	Lifeworth Diagnostic Centre ethics committee Samta Colony Raipur Chhattisgarh 492001, India ECR/1767/Inst/CG/2023.
5.	Dr Avinash H R	Shri Atal Bihari Vajpayee medical college and research institution, Bengaluru -560001 Karnataka	Ethics Committee Bowring and Lady Curzon Medical College and RI Admin Block, Ground Floor Lady Curzon Road, Shivajinagar, Bengaluru 560 001 ECR/1482/Inst/KA/2020
6.	Dr. Dhaiwat Shukla	Sheth Vadilal sarabhai general hospital, Nidhara Building, Pritam Nagar, Ellisbridge, Ahmedabad, Gujarat 380006	IEC Riddhi Medical Nursing Home A/101 Jalaram Plaza Jawahar Chowk, Maninagar Ahmedabad Ahmedabad Gujarat - 380008 India ECR/886/Inst/GJ/2016/RR-19
7.	Dr Vipul Khandewal	Apex hospitals Private Ltd Malviya Nagar Industrial Area, Malviya Nagar, Jaipur Rajasthan 302017	Institutional ethics Committee Apex hospitals Private Ltd Malviya Nagar Industrial Area, Malviya Nagar, Jaipur Rajasthan 302017 ECR/380/Inst/RJ/2013/RR-16.
8.	Dr. Suhas Gop	Akshay Hospital, Opp. SNTD college, near SBI Bank, Karve Road, Pune-411 004.	Royal Pune Independent Ethics committee CDSCO registration no ECR/45/Inst/MH/2013/RR-19
9.	Dr Vaibhav Kothar	Sushrusha hospital Tel Exchange, Chimanlal Girdharlal Rd, opposite Navrangpura, Mithakhali, Navrangpura, Ahmedabad, Gujarat 380009	Sangini Hospital Ethics Committee, Sangini Hospital Santorini Square, B/H Abhishree Complex Opp. Star Bazar Nr Jodhpur Cross Roads Satellite Ahmedabad Ahmedabad Gujarat - 380015 ECR/147/Inst/GJ/2013/RR-19

Place: New Delhi

Date: .....

09 AUG 2023

Central Licensing Authority

Stamp

Dr. RAJEEV SINGH RACHUVANE  
 Drugs Controller General (India)  
 Central Drugs Standard Control Organisation  
 Directorate General of Health Services  
 Ministry of Health & Family Welfare  
 Government of India  
 FDC Building, Connaught Road,  
 New Delhi - 110 054